

**UNITED STATES BANKRUPTCY COURT  
Southern District of New York**

In re: Fairfield Sentry Limited

Bankruptcy Case No.:  
10-13164-smb

Fairfield Sentry Limited ( In Liquidation)  
Fairfield Sigma Limited (In Liquidation)  
Kenneth Krys, solely in his capacity as Foreign Representative and Liquidator thereof  
Plaintiff(s),

–against–

Adversary Proceeding No.  
10-03635-smb

Union Bancaire Privee, UBP SA  
Adler and Co.  
Privatbank AG  
Allianzbank SPA/Unifortune Conservative Side Pocket  
Alternative Investment Strategies  
Arsenal SPC  
Arsenal SPC OBO Glasgow SEG Port  
Banca Arner SA  
Banca Unione di Credito  
Bank Hapoalim Switzerland Ltd.  
Bank Julius Baer & Co. Ltd.  
Bank Sarasin & Cie  
Banque Cantonale Vaudoise  
Banque Cramer & Cie SA  
Barclay's Bank PLC Singapore Wealth  
BBH LUX Guardian II  
BBVA (Suisse) SA  
BCV AMC Defensive AI Fund  
BNP Paribas (Suisse) SA  
BNP Paribas (Suisse) SA Ex Fortis  
BNP Paribas (Suisse) SA Private  
BSI AG  
BSI Ex Banca Del Gottardo  
Caceis Bank Luxembourg  
CBB (BVI)/The Alkima Found  
CBT Gems Low Vol Reg  
Compagnie Bancaire Helvetique  
Centrum Bank AG (AMS)  
Fidulex Management Inc.  
Clariden Leu Ltd.  
Corner Banca SA  
Credit Suisse AG Zurich  
Dexia Banque International a Luxembourg  
Dresdner Bank Schweiz  
EFG Bank SA Switzerland  
EFG Eurofinancier D'Invest MCL  
Endurance Absolute Ltd. Master  
Fairfield Investment GCI  
Fairfield Investment Fund Ltd.  
Fairfield Masters Ltd.  
Falcon Private Bank  
FIF Advanced Ltd.  
Finter Bank Zuirich  
Harmony Capital Fund Ltd.  
Ihag Handelsbank AG  
Incore Bank AG  
Karla Multistrategies Ltd.  
KBC Investments Ltd.  
LGT Bank in Liechtenstein AG  
Liechtensteinische LB Reinvest AMS

Lloyds TSB Bank Geneva  
Lombard Odier Darier Hentsch & Cie  
Longboat Ltd.  
Master Capital and Hedge Fund  
NBK Banque Privee Suisse SA  
Pictet & Cie  
PKB Privatebank AG  
Private Space Ltd.  
Quasar Funs SPC  
RBC Dexia Investor Service Julius Baer Sicav  
RBS Coutts Bank Ltd.  
Richourt AAA Multistrategies  
Rothschild Bank AG Zurich (Dublin)  
Rothschild Bank Geneva (Dublin)  
Rothschild Lugano Dublin  
Sella Bank AG  
SIS Seeganintersettle  
Six SIS Ltd.  
Societe Generale Bank & Trust  
Soundview Fund  
Swisscanto FD Centre Clients A/C  
T1 Global Fund Ltd.  
UBS AG New York  
UBS AG Zurich  
UBS Jersey Nominees  
Verwaltungs und Privat-Bank AG Aktiengesellschaft (AMS)  
Vorarlberger Landes Und Hypothekenbank Ankiengesellscharft and Beneficial Owners  
of the Accounts held in the name of CGC (NA) 1-1000  
Verwaltungs- und Privat-Bank AG Aktiengesellschaft  
Rothschild Lugano Dublin a/k/a Banca Privata Edmond de Rothschild Lugano S.A.  
Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild Bank AG  
Quasar Funds SPC a/k/a Quasar Fund SPC Class A and Class B CGCNV  
National Bank of Kuwait  
Karasel Enhanced Portfolio  
Barclays Bank PLC Singapore Wealth a/k/a Barclays Bank, PLC  
Adler and Co Privatbank AG  
ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG  
EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG Bank (Monaco) f/k/a EFG  
Eurofinancier DInvest MCL.  
EFG Bank AG a/k/a EFG Bank SA Switzerland  
EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL  
Credit Suisse AG  
HSBC  
HSBC  
Geneva Absolute Return Fund  
EFG Bank AG and EFG Bank (Monaco)  
Remand Defendants  
Defendants (as set forth in Appendix A to the Memorandum of Law in Opposition to  
Plaintiffs' Motion for Leave to Amend and In Support of the Defendants' Motion to  
Dismiss)  
Unifortune Conservative Side Pocket  
Liechtensteinsche Landesbank AG  
the Defendants listed on Exhibit A to the HSBC Defendants' Supplemental  
Memorandum of Law

Defendant(s)

#### **ENTRY OF DEFAULT**

It appears from the record that the following defendant failed to plead or otherwise defend in this case as required by law.

Certificate of Notice Pg 3 of 7

Name:	CBT GEMS LOW VOL REG
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Therefore, default is entered against the defendant as authorized by Bankruptcy Rule 7055.

Dated: 10/11/17

Vito Genna

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*Clerk of the Court*

By: /s/ Dawn McCaffrey

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*Deputy Clerk*

## Certificate of Notice Pg 4 of 7

United States Bankruptcy Court  
Southern District of New YorkFairfield Sentry Limited ( In Liquidatio,  
Plaintiff

Adv. Proc. No. 10-03635-smb

Union Bancaire Privee, UBP SA,  
Defendant**CERTIFICATE OF NOTICE**

District/off: 0208-1

User: dmccaffre  
Form ID: clkdf1tPage 1 of 4  
Total Noticed: 20

Date Rcvd: Oct 11, 2017

Notice by first class mail was sent to the following persons/entities by the Bankruptcy Noticing Center on Oct 13, 2017.

aty +James L. Garrity, Jr., Morgan, Lewis & Bockius LLP, 101 Park Avenue,  
New York, NY 10178-0060

aty +Michael E. Wiles, Debevoise & Plimpton LLP, 919 3rd Avenue, New York, NY 10022-3916

aty +Sachin S. Bansal, McKool Smith, 1 Bryant Park, 47th Floor, New York, NY 10036-6729

dft ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) A, Registered address, Beethovenstrasse 33,  
CH-8002, Zurich, SWITZERLAND

dft Adler and Co Privatbank AG, Registered address, Claridenstreasse 22, CH-8022, Zurich,  
SWITZERLAND

dft Alternative Investment Strategies, c/o Citco Bank Nederland NV, Telestone 8 - Teleport,  
Narritaweg 165, 1043BW Amsterdam, The Netherlands

dft Banca Unione di Credito, c/o Citco Global Custody NV, c/o Citco Bank Nederland NV,  
Telestone 8 - Teleport, Narritaweg 165, 1043BW Amsterdam, The Netherlands

dft Banque Cramer & Cie SA, 22 Avenue de Miremont, 1206 Geneve, Switzerland

dft Barclays Bank PLC Singapore Wealth a/k/a Barclays, Registered Address,  
Level 28 One Raffles Quay, South Tower, Singapore 048583, SINGAPORE

dft CBT Gems Low Vol Reg, One Montague Place 1st Floor, East Bay Street, P.O. Box N-1906,  
Nassau, Bahamas

dft Dexia Banque International a Luxembourg, c/o Citco Bank Nederland NV,  
Telestone 8 - Teleport, Narritaweg 165, 1043 BW Amsterdam, The Netherlands

dft HSBC, 8 Canary Square, Canary Wharf, London, UNITED KINGDOM

dft Karasel Enhanced Portfolio, c/o Citco Global Custody N.V.,  
c/o Citco Data Processing Services Ltd., 2600 Cork Airport Business Park, Kinsale Road,  
Cork, Ireland

dft Longboat Ltd., c/o Citco Bank Nederland NV, Telestone 8 - Teleport, Narritaweg 165,  
1043BW Amsterdam, The Netherlands

dft Master Capital and Hedge Fund, c/o Citco Data Processing Services Ltd.,  
2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland

dft National Bank of Kuwait, P.O. Box 95 Safat, 13001 Kuwait, Abdullah Al Ahmad Street,  
Sharq, STATE OF KUWAIT

dft Quasar Funds SPC a/k/a Quasar Fund SPC Class A and, Registered Address,  
c/o Citco Fund Services Europe BV, Narritaweg 165, 1043 BW Amsterdam, THE NETHERLANDS

dft Rothschild Bank AG Zurich (Dublin) a/k/a Rothschild, Registered Address, Zollikerstrasse 181,  
CH-8034, Zurich, SWITZERLAND

dft Rothschild Lugano Dublin a/k/a Banca Privata Edmon, Registered Address, Via Ginevra 2,  
CH-6900, Lugano, SWITZERLAND

dft Swisscanto FD Centre Clients A/C, c/o Citco Data Processing Services Ltd.,  
2600 Cork Airport Business Park, Kinsale Road, Cork, Ireland

Notice by electronic transmission was sent to the following persons/entities by the Bankruptcy Noticing Center.  
NONE. TOTAL: 0

\*\*\*\*\* BYPASSED RECIPIENTS (undeliverable, \* duplicate) \*\*\*\*\*

intp ABN AMRO Bank N.V. f/k/a Fortis Bank (Nederland) N

intp ABN AMRO Fund Services (Isle of Man) Nominees Limi

intp ABN AMRO Fund Services Bank (Cayman) Ltd. f/k/a Fo

intp ABN AMRO Global Custody

intp ABN AMRO Global Custody Services N.V. f/k/a Fortis

dft Adler and Co.

dft Allianzbank SPA/Unifortune Conservative Side Pocke

dft Arsenal SPC

dft Arsenal SPC OBO Glasgow SEG Port

dft BBH LUX Guardian II

dft BBVA (Suisse) SA

dft BCV AMC Defensive Al Fund

dft BNP Paribas (Suisse) SA

dft BNP Paribas (Suisse) SA Ex Fortis

dft BNP Paribas (Suisse) SA Private

dft BSI AG

dft BSI Ex Banca Del Gottardo

dft Banca Arner SA

unk Banco Santander (Suisse) SA

dft Bank Hapoalim Switzerland Ltd.

dft Bank Julius Baer & Co. Ltd.

dft Bank Sarasin & Cie

dft Banque Cantonale Vaudoise

dft Barclay's Bank PLC Singapore Wealth

dft CBB (BVI)/The Alkima Found

dft Caceis Bank Luxembourg

dft Centrum Bank AG (AMS)

dft Clariden Leu Ltd.

unk Clerk's Office of the U.S. Bankruptcy Court, S.D.N

dft Compagnie Bancaire Helvetique

District/off: 0208-1

User: dmccaffre  
Form ID: clkdfilt

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Total Noticed: 20

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\*\*\*\*\* BYPASSED RECIPIENTS (continued) \*\*\*\*\*

dft Corner Banca SA  
dft Credit Suisse AG  
dft Credit Suisse AG Zurich  
dft Defendants (as set forth in Appendix A to the Memo  
dft Dresdner Bank Schweiz  
dft EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest  
dft EFG Bank AG a/k/a EFG Bank SA Switzerland  
dft EFG Bank AG a/k/a EFG Bank SA Switzerland and EFG  
dft EFG Bank AG and EFG Bank (Monaco)  
dft EFG Bank SA Switzerland  
dft EFG Eurofinancier D'Invest MCL  
dft Endurance Absolute Ltd. Master  
dft FIF Advanced Ltd.  
dft Fairfield Investment Fund Ltd.  
dft Fairfield Investment GCI  
dft Fairfield Masters Ltd.  
pla Fairfield Sentry Limited ( In Liquidation)  
pla Fairfield Sigma Limited (In Liquidation)  
dft Falcon Private Bank  
dft Fidulex Management Inc.  
dft Finter Bank Zuirich  
dft Geneva Absolute Return Fund  
dft HSBC  
dft Harmony Capital Fund Ltd.  
dft Ihag Handelsbank AG  
dft Incore Bank AG  
unk Joanna Lau  
dft KBC Investments Ltd.  
dft Karla Multistrategies Ltd.  
unk Kenneth Kryz  
pla Kenneth Kryz, solely in his capacity as Foreign Re  
dft LGT Bank in Liechtenstein AG  
dft Liechtensteinische LB Reinvest AMS  
dft Liechtensteinsche Landesbank AG  
dft Lloyds TSB Bank Geneva  
dft Lombard Odier Darier Hentsch & Cie  
dft NBK Banque Privee Suisse SA  
dft PKB Privatebank AG  
dft Pictet & Cie  
dft Privatbank AG  
dft Private Space Ltd.  
dft Quasar Funs SPC  
dft RBC Dexia Investor Service Julius Baer Sicav  
dft RBS Coutts Bank Ltd.  
dft Remand Defendants  
dft Richourt AAA Multistrategies  
dft Rothschild Bank Geneva (Dublin)  
dft Rothschild Lugano Dublin  
dft Rothschild Bank AG Zurich (Dublin)  
dft SIS Seeganinterstetle  
dft Sella Bank AG  
dft Six SIS Ltd.  
dft Societe Generale Bank & Trust  
dft Soundview Fund  
dft T1 Global Fund Ltd.  
dft UBS AG New York  
dft UBS AG Zurich  
dft UBS Jersey Nominees  
dft Unifortune Conservative Side Pocket  
dft Union Bancaire Privee, UBP SA  
dft Verwaltungs und Privat-Bank AG Aktiengesellschaft  
dft Verwaltungs- und Privat-Bank AG Aktiengesellschaft  
dft Vorarlberger Landes Und Hypothekenbank Ankiengesel  
dft the Defendants listed on Exhibit A to the HSBC Def

TOTALS: 94, \* 0, ## 0

Addresses marked '+' were corrected by inserting the ZIP or replacing an incorrect ZIP.  
USPS regulations require that automation-compatible mail display the correct ZIP.

Transmission times for electronic delivery are Eastern Time zone.

District/off: 0208-1

User: dmccaffre  
Form ID: clkdfilt

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\*\*\*\*\* BYPASSED RECIPIENTS (continued) \*\*\*\*\*

**I, Joseph Speetjens, declare under the penalty of perjury that I have sent the attached document to the above listed entities in the manner shown, and prepared the Certificate of Notice and that it is true and correct to the best of my information and belief.**

**Meeting of Creditor Notices only (Official Form 309): Pursuant to Fed. R. Bank. P. 2002(a)(1), a notice containing the complete Social Security Number (SSN) of the debtor(s) was furnished to all parties listed. This official court copy contains the redacted SSN as required by the bankruptcy rules and the Judiciary's privacy policies.**

Date: Oct 13, 2017

Signature: /s/Joseph Speetjens

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## CM/ECF NOTICE OF ELECTRONIC FILING

The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system on October 11, 2017 at the address(es) listed below:

Alexander Lees on behalf of Defendant Dresdner Bank Schweiz alees@milbank.com  
Andreas A. Frischknecht on behalf of Defendant SIS Seeganinterstetle  
andreas.frischknecht@chaffetzlindsey.com, dockets@chaffetzlindsey.com,  
t.cohen@chaffetzlindsey.com  
Andrew John Finn on behalf of Defendant Bank Sarasin & Cie finna@sullcrom.com,  
s&cmanagingclerk@sullcrom.com;andrew-finn-6914@ecf.pacerpro.com  
Anthony L. Paccione on behalf of Defendant BBH LUX Guardian II anthony.paccione@kattenlaw.com,  
mark.ciani@kattenlaw.com  
Anthony L. Paccione on behalf of Defendant RBC Dexia Investor Service Julius Baer Sicav  
anthony.paccione@kattenlaw.com, mark.ciani@kattenlaw.com  
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Breon Peace on behalf of Defendant BNP Paribas (Suisse) SA bpeace@cgsh.com,  
maofiling@cgsh.com  
Charles Collier Platt on behalf of Defendant BSI AG Charles.Platt@wilmerhale.com  
Christopher Harris on behalf of Defendant ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland)  
AG Christopher.harris@lw.com  
Christopher A. Lynch on behalf of Defendant T1 Global Fund Ltd. clynch@reedsmith.com  
Christopher R. Donoho, III on behalf of Defendant Barclay's Bank PLC Singapore Wealth  
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cmartin@omm.com;lillianlee@omm.com  
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managingattorneysdepartment@friedfrank.com  
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Elizabeth Vicens on behalf of Defendant Caceis Bank Luxembourg evicens@cgsh.com,  
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George W. Shuster, Jr. on behalf of Defendant BSI AG george.shuster@wilmerhale.com  
Heather Lamberg Kafele on behalf of Defendant BBVA (Suisse) SA hkafele@winston.com,  
jppjohnson@winston.com;kpalfin@winston.com  
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calert@wlrk.com  
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john.borek@friedfrank.com, ManagingAttorneysDepartment@friedfrank.com  
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Jonathan D. Cogan on behalf of Defendant Allianzbank SPA/Unifortune Conservative Side Pocket  
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Justin Kattan on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL  
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Kimberly Perrotta Cole on behalf of Defendant Allianzbank SPA/Unifortune Conservative Side  
Pocket kimberly.cole@kobrekim.com  
Lawrence B. Friedman on behalf of Defendant Caceis Bank Luxembourg lfriedman@cgsh.com,  
maofiling@cgsh.com  
Marshall R. King on behalf of Defendant UBS AG New York mking@gibsondunn.com

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Form ID: clkdf1t

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Total Noticed: 20

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The following persons/entities were sent notice through the court's CM/ECF electronic mail (Email) system (continued)

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Polina Bensman on behalf of Defendant Caceis Bank Luxembourg lbensman@cgsh.com  
Rachel Ehrlich Albanese on behalf of Defendant Banca Arner SA rachel.albanese@dlapiper.com,  
adam.lanza@dlapiper.com, rachel-albanese-9956@ecf.pacerpro.com  
Reid Ashinoff on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest MCL  
reid.ashinoff@dentons.com, docketny@dentons.com  
Richard A. Cirillo on behalf of Defendant NBK Banque Privee Suisse SA rcirillo@kslaw.com,  
jcmccullough@kslaw.com  
Richard B. Levin on behalf of Defendant Unifortune Conservative Side Pocket rlevin@jenner.com  
Samuel Cavior on behalf of Defendant Falcon Private Bank samuel.cavior@pillsburylaw.com  
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wale.bakare@hsf.com  
Sean G. Arthurs on behalf of Defendant BBVA (Suisse) SA sean.arthurs@shearman.com  
Stephen M. Harnik on behalf of Defendant Vorarlberger Landes Und Hypothekenbank  
Ankiengesellschaft and Beneficial Owners of the Accounts held in the name of CGC (NA) 1-1000  
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Thomas Carter White on behalf of Defendant EFG Bank (Monaco) f/k/a EFG Eurofinancier DInvest  
MCL carter.white@dentons.com, docketny@dentons.com  
Thomas J. Giblin on behalf of Defendant ABN AMRO Schweiz AG a/k/a ABN AMRO (Switzerland) AG  
thomas.giblin@lw.com  
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William J. Sushon on behalf of Defendant Credit Suisse AG Zurich wsushon@omm.com  
William J. Sushon on behalf of Defendant Clariden Leu Ltd. wsushon@omm.com  
Yasmine Lahlou on behalf of Defendant Six SIS Ltd. yasmine.lahlou@chaffetzlindsey.com,  
dockets@chaffetzlindsey.com

TOTAL: 52